

FORM PTO-1390 (REV. 10-2003)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER <b>1064.P004US/ADR/ayu</b>	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>10/510045</b>	
INTERNATIONAL APPLICATION NO. <b>PCT/SG03/00036</b>		INTERNATIONAL FILING DATE <b>24 February 2003</b>		PRIORITY DATE CLAIMED <b>2 April 2002</b>	
TITLE OF INVENTION <b>METADATA DATABASE MANAGEMENT SYSTEM AND METHOD THEREFOR</b>					
APPLICANT(S) FOR DO/EO/US <b>REUTERS LIMITED</b>					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol>					
Items 11 to 20 below concern document(s) or information included:					
<ol style="list-style-type: none"> <li>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <input type="checkbox"/> A preliminary amendment.</li> <li>14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</li> <li>15. <input type="checkbox"/> A substitute specification.</li> <li>16. <input checked="" type="checkbox"/> A power of attorney and/or change of address letter.</li> <li>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.</li> <li>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li> <li>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li> <li>20. <input checked="" type="checkbox"/> Other items or information: <ol style="list-style-type: none"> <li>a) WIPO publication (WO/03/083724 A1)</li> <li>b) International Search Report</li> <li>c) International Preliminary Examination Report and Annexes</li> <li>d) PCT Written Opinion dated 26 Sep 2003</li> <li>e) Response to PCT Written Opinion dated 29 January 2004.</li> <li>f) Letter to WIPO regarding errors in WIPO publication.</li> </ol> </li> </ol>					

U.S. APPLICATION NO. <b>104510045</b> (if known, see 37 CFR 1.55)			INTERNATIONAL APPLICATION NO. <b>PCT/SG03/00036</b>		ATTORNEY'S DOCKET NUMBER <b>1064.P004US/ADR/ayu</b>	
21. <input checked="" type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE</b> (37 CFR 1.492 (a) (1) - (5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO. .... <b>\$1080.00</b>  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... <b>\$920.00</b>  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... <b>\$770.00</b>  International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... <b>\$730.00</b>  International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... <b>\$100.00</b>  <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>					<b>CALCULATIONS PTO USE ONLY</b>	
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					\$ <b>0.00</b>	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE			
Total claims	<b>40 - 20 =</b>	<b>20</b>	x <b>\$18.00</b>	\$ <b>360.00</b>		
Independent claims	<b>2 - 3 =</b>		x <b>\$86.00</b>	\$ <b>0.00</b>		
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ <b>\$290.00</b>	\$ <b>0.00</b>		
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ <b>360.00</b>		
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$ <b>0.00</b>		
<b>SUBTOTAL =</b>				\$ <b>1,440.00</b>		
Processing fee of <b>\$130.00</b> for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$ <b>0.00</b>		
<b>TOTAL NATIONAL FEE =</b>				\$ <b>1,440.00</b>		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property +				\$ <b>40.00</b>		
<b>TOTAL FEES ENCLOSED =</b>				\$ <b>1,480.00</b>		
				Amount to be refunded: \$		
				charged: \$		
<p>a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.          A duplicate copy of this sheet is enclosed.</p> <p>c. <input type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any          overpayment to Deposit Account No. _____. A duplicate copy of this sheet is enclosed.</p> <p>d. <input checked="" type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card          information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.</p> <p><b>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a)          or (b)) must be filed and granted to restore the application to pending status.</b></p> <p>SEND ALL CORRESPONDENCE TO:</p> <div style="display: flex; justify-content: space-between; margin-top: 20px;"> <div style="width: 45%;"> <p><b>George D. Liu</b>  <b>Lawrence Y D Ho &amp; Associates</b>  <b>2101 Crystal Plaza Arc</b>  <b>PMB 400</b>  <b>Arlington, VA 22202 USA</b></p> </div> <div style="width: 45%; text-align: center;">             SIGNATURE  <b>George D. Liu</b>            NAME  <b>47,752</b>            REGISTRATION NUMBER         </div> </div>						

10/510045

LAWRENCE Y D HO & ASSOCIATES PTE LTD

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Provides services on International  
Intellectual Property Matters including  
Patents, Trademarks, Copyrights &  
Related Litigation.

Provides Advisory Services on  
Technology Transfer

DT04 Rec'd PCT/PTO 01 OCT 2004

January 29 2004

**VIA FAX & REGISTERED POST**

**61-2-6285-3929**

IPEA/AU  
Australian Patent Office  
Discovery House,  
Bowes Street  
Phillip ACT 2606  
Australia

No. of pages : 22 including this page

Dear Madam/Sir

RE : Response to **FIRST** Written Opinion for  
PCT Patent Application No. **PCT/SG03/00036**  
Applicant : Reuters Limited (et al)  
Title : Metadata Database management System and Method Therefor  
Our Ref : **1064.P004PCT**

We refer to the above PCT patent application and the FIRST Written Opinion dated 26 September 2003.

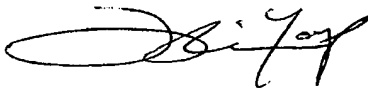
In response to the FIRST Written Opinion, please find enclosed :

- a) Amendment and Remarks (5 pages);
- b) Replacement Sheets (Clean) pages 23 to 29 of amended claims (7pages); and
- c) Replacement Sheets (Marked in Red) pages 23 to 31 of amended claims (9pages).

We respectfully submit that no new matter has been added to the application by these remarks.

We look forward to receiving the Examiner's allowance of the Claims 1-40 of the above PCT application. Nevertheless, we request the Examiner for additional opportunities to submit amendments or arguments should the amendments not result in allowance.

Yours sincerely  
**LAWRENCE Y.D. HO & ASSOCIATES PTE LTD**



Adrion Yap

Encl.

## LAWRENCE Y D HO &amp; ASSOCIATES PTE LTD

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LL.B. (National Taiwan University)ADRION YAP  
B.E.E., LL.B.Provides services on International  
Intellectual Property Matters including  
Patents, Trademarks, Copyrights &  
Related Litigation.Provides Advisory Services on  
Technology Transfer.

GST Reg. 20-0003547-G

Re-fax  
DT04 Rec'd PCT/PTD 01 OCT 2004  
**URGENT**

20 September 2004

~~Ms Judith Zahra~~ *Ms Beatrix Largo*  
International Bureau  
WIPO  
34 chemin des Colombettes  
1211 Geneva 20  
SWITZERLAND*Via Fax & Mail*  
**41-22-740-1435**

4122-338-8920

Dear Ms Zahra

**Re: PCT Application No. PCT/SG03/00036**  
**Applicant: Reuters Limited**  
**Title: Metadata Database Management System and Method Therefor**  
**Our Ref: 1064.P004PCT/ADR/ayu**

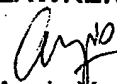
Please be informed the following errors were found in the WIPO publication (WO 03/83724):

1. The applicant's zip code (address) should be "EC4P" instead of ECAP.
2. **PNG Teck Hock** should be an "applicant and inventor for US only" instead of "applicant for US only"

An extract copy of the PCT Request is enclosed for your easy reference.

Please amend your records immediately and would appreciate your confirmation before **28 September 2004** as we are filing National Phase Entry into US now. Kindly fax a copy of the confirmation to us via fax no. (65) 6732 3188.

Thank you for your kind attention.

Yours sincerely  
**LAWRENCE Y D HO & ASSOCIATES PTE LTD**  
Angie YuInternational Filing Department  
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